



**ANNUAL FINANCIAL REPORT
TO THE COMMISSION**

(January 1 to December 31, 2009)

Report to be completed and submitted to the Saskatchewan Real Estate Commission prior to March 15 in the year immediately following the calendar year for which the report is due (Bylaw 606).

(Name of Brokerage as recorded on Certificate of Registration)	
(Address for Service – Street Address)	
(City)	(Postal Code)
(Box #)	(Postal Code)
(Office Phone #)	(Fax #)
(E-mail Address)	
(Name of Registered Broker)	

1. Please list all branch offices (attach a separate list if space is insufficient):

BRANCH OFFICE: _____
(Street Address)

_____ (City) _____ (Postal Code)

_____ (Name of Registered Branch Manager) _____ (Telephone #)

2. **LEGAL ACTION:**

During this reporting period:

- (a) has any civil action been commenced against the brokerage or any registrant of the brokerage with respect to a trade in real estate, fraud, misrepresentation, undue influence or breach of trust? Yes ____ No ____ If yes, attach a copy of the Statement of Claim.

- (b) has any settlement been entered into by the brokerage or any registrant of the brokerage or has a judgment been issued against the brokerage or a registrant of the brokerage as a result of any civil proceedings? Yes ____ No ____ If yes, attach a copy of the judgment or settlement agreement.

3. **TRUST ACCOUNTS** (as per Section 71 of *The Real Estate Act*):

- a) List all **real estate** interest bearing trust accounts including special interest bearing trust accounts (savings or term deposits) held for a client during the reporting year. (Attach a separate list if space is insufficient.)

Account #	Name & Branch of Bank, Credit Union or Trust Company	Date Account Opened/Closed (Jan. 1 st or later in this reporting year)

- b) List all **property management** interest bearing trust accounts held for a client during the reporting year. (Attach a separate list if space is insufficient.)

Account #	Name & Branch of Bank, Credit Union or Trust Company	Date Account Opened/Closed (Jan. 1 st or later in this reporting year)

- c) List all **property management** operating accounts held on the landlord's behalf during the reporting year. (Attach a separate list if space is insufficient.) The operating accounts are the accounts authorized by the landlord to transfer trust funds into when the conditions of trust have been met as required by Bylaw 617(e).

Account #	Name & Branch of Bank, Credit Union or Trust Company	Date Account Opened/Closed (Jan. 1 st or later in this reporting year)

6. **YEARLY ACTIVITY:** Complete the following information for each real estate and property management interest bearing trust account and property management operating account recorded in Part #3.

Account #: _____

- Check Type of Account: Real Estate Interest Bearing Trust Account
 Property Management Interest Bearing Trust Account
 Property Management Operating Account

Balance forwarded to January 1 of the reporting year as per bank statement _____

Total Amount of funds deposited Jan. 1 - Dec. 31 of the reporting year as per bank statement _____

Total Amount of funds disbursed Jan. 1 - Dec. 31 of the reporting year as per bank statement _____

Balance on December 31 of the reporting year as per bank statement _____

7. **GENERAL INFORMATION:** For the reporting year, please state:

(a) the number of real estate transactions for which the brokerage received and deposited funds into the trust account(s) reported in Part 3(a): _____

(b) the number of property management contracts in effect as of December 31st of the reporting year: _____

(c) the number of **residential** units managed by the brokerage at December 31st: _____ (Units are defined as a specific rental area within the property.)

(d) the number of **commercial** units managed by the brokerage at December 31st: _____ (Units are defined as a specific rental area within the property.)

8. BANKS STATEMENTS:

I am forwarding the December 31st bank statements for each of the following accounts reported in Part #3:

- (a) Real Estate Interest Bearing Trust Account(s)
- (b) Property Management Interest Bearing Trust Account(s)
- (c) Property Management Operating Account(s)

9. BROKER'S CERTIFICATION:

I, _____ for _____
(Registered Broker) (Please Print) (Brokerage's Name - Company)

of _____ in the Province of Saskatchewan
(location)

certify that to the best of my knowledge and belief, the foregoing information is complete, true and correct.

DATED THIS _____ DAY OF _____, 20 _____

IN THE CITY OF _____.

SIGNATURE OF REGISTERED BROKER _____